Signature

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

First Inventor Andrew P. Coughlan

6013-127US DAT/al

Date

March 30, 2004

METHOD FOR SYNTHESIZING ECTOMYCORRHIZA IN VITRO

Attorney Docket No.

Express Mail Label No.

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PTO/SB/05 (08-03)

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|----------------|--|--|---|----------------------|-----------------------------|---|---|---|--|---|-------------|
| | See MPEI | APPLICATION EL | - | ts. | ADDF | RESS TO: | Commissi P.O. Box | loner 1450 | nt Application for Patents | | 264 0783 |
| 1. 2. 3. | *Fec (Subilified Sec (Subilifi | e Transmittal Form (e.g., P mit an original and a duplicate for feo plicant claims small entity size 37 CFR 1.27. ecification ferred arrangement set forth be escriptive title of the Invention ross References to Related tatement Regarding Fed speference to sequence listing rogram listing appendix. ackground of the Invention rief Summary of the Invention rief Description of the Draw etailed Description laim(s) bstract of the Disclosure | TO/SB/17) e processing) tatus. [Total Pages 12 elow) ion I Applications onsored R & D g, a table, or a compute | 7. 8. _] er | Nucl (if app a. b. | Program (Aleotide and/leotide | or CD-R in of Appendix) for Amino A sessary) utter Reada on Sequence CD-ROM or paper ments verify MPANYING Amit Papers (.3.73 (b) S is an assignee) ranslation E on Disclosur | dupli cid S ble I for CD ring i COVE tater Documen | -R (2 copies identity of at identity of at identity of at identity of at ar sheet & do ment ment (if app | ubmission s); or cove copies RTS coument(s)) Power of Attorney licable) Copies of | |
| 18. appl. | Oath or [a. a. b. a. b. a. b. a. b. | Declaration Newly executed (original of Copy from a prior applical of Copy from a prior applical of Copy from a prior application of Copy from a prior application of Copy from a prior application of Copy from the prise of Copy from the prior of Copy f | tion (37 C.F.R. § 1.63(c) x 18 completed) ENTOR(S) deleting for application, ad 1.33(b). TOCFR 1.76. | 1) 1/di)) 1! | | Preliminal Return Re (Should be s) Certified ((if foreign prix Nonpublic 122(b)(2)(PTO/SB/3 Other: | pecifically itemic Copy of Pric prity is claimed, cation Requ (B)(i). Appl 35 or its equ | ent card (zed) ority est u icant uivale | (MPEP 503) Document(s under 35 U.S t must attack | S.C. n form | n an |
| For und | Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS | | | | | | | | | | |
| | Customer Number Label (Insert(Gustomer,No, Ihere) OGILVY RENAULT | | | | | | | | | | |
| Nam | Name OGILVI KENAULI | | | | | | | | | | |
| Add | Address Suite 1600, 1981, McGill College Ave. | | | | | | | | | | |
| City | | Montreal | State | Queb | ec | | ostal Code Zip Code | Н3, | A 2Y3 | | |
| Cou | ntry | Canada | Telephone | (514) | 845-712 | | Fax | (51 | 4) 288-8389 | | |
| _ | lame (Print | VType) ISABELLE CHA | вот | | Regis | stration No. (A | Attorney/Age | nt) | 55,764 | | |

PTO/SB/17 (08-03)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE objection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are

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| | 1 | for | FY | 20 | 04 | |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| (\$) | 385 |
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| | required to respond to a contection of information unless it displays a valid OMB control number. | | | | | | | | |
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| Ì | Complete if Known | | | | | | | | |
| | Application Number | | | | | | | | |
| | Filing Date | | | | | | | | |
| | First Named Inventor | Andrew P. Coughlan | | | | | | | |
| | Examiner Name | | | | | | | | |
| | Art Unit | | | | | | | | |
| | Attorney Docket No. | 6013-127US DAT/al | | | | | | | |

| METHOD OF PAYMENT (check all that apply) | | | | FEE CALCULATION (continued) | | | | |
|--|--|-------------|-------------|-----------------------------|--|----------|--|--|
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None | | | | | · | | | |
| Deposit Account : | Large | Entity | Small | Entity | - | | | |
| Account Number 19-5113 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| Deposit | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| Account Name OGILVY RENAULT | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| | 1053 | 130 | 1053 | 130 | Non-English specification | | | |
| The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | | |
| Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account | 1805 | 1,840* | 1805 | 1,840° | Requesting publication of SIR after Examiner action | | | |
| | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| FEE CALCULATION | 1252 | 420 | 2252 | 210 | Extension for reply within second month | | | |
| 1. BASIC FILING FEE | 1253 | 950 | 2253 | 475 | Extension for reply within third month | | | |
| Large Entity Small Entity | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | | | |
| Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | | | |
| 1001 770 2001 385 Utility filing fee 385 | 1401 | 330 | 2401 | 165 | Notice of Appeal | | | |
| 1002 340 2002 170 Design filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | | | |
| 1003 530 2003 265 Plant filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | | | |
| 1004 770 2004 385 Reissue filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1005 160 2005 80 Provisional filing fee | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | | |
| SUBTOTAL (1) (\$) 385 | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | | |
| Extra Fee from Fee Paid | 1502 | 480 | 2502 | 240 | Design issue fee | | | |
| Total Claims 18 - 20**= X = 0 | 1503 | 640 | 2503 | 320 | Plant issue fee | | | |
| Independent 3 - 3**= X = 0 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | | |
| Multiple Dependent = 0 | 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | | | |
| , | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | | |
| Large Entity Small Entity | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| Fee Fee Fee Fee Description | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection(37 CFR § 1.129(a)) | | | |
| 1202 18 2202 9 Claims in excess of 20 | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 1201 86 2201 43 Independent claims in excess of 3 | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | |
| 1204 86 2204 43 ** Reissue independent over original patent | Other fe | e (speci | fy) | | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | | | | | | |
| SUBTOTAL (2) (\$) 0 ** or number previously paid, if greater, For Reissues, see above | · Re | educed t | y Basic | Filing F | ee Paid SUBTOTAL (3) (\$) | | | |
| or number previously paid, if greater, if or neissues, see above | or number previously paid, if greater, if or reissues, see above | | | | | | | |

| SUBMITTED B | зү | Complete (if | Complete (if applicable) | | |
|----------------------|-----------------|--|--------------------------|----------------|--|
| Name (Print/Type) | ISABELLE CHABOT | Registration No. (Attorney/Agent) 55,764 | Telephone | (418) 640-5174 | |
| Signature | Toabelle C | Date | March 30, 2004 | | |